Date Completed:________________________

# Retirement Strategy Analysis

Complete this form and bring it with you to your first appointment

*Please print and return this form with last year's tax return and other necessary documents.
*If you are not sure about a question, please leave it blank.
*Please use approximate values – round to the nearest thousand.

## Client Information:

Name: Robert Smith Nickname: Bob

Today's Date: 01/10/2025 Date of Birth: 01/01/1960

Mailing Address: 9901 Bunnyhop Lane

City: Annapolis State: MD Zip: __________

Home Phone: 555-555-5555 Business Phone: ______________________

Occupation: Management Employer: XYZ Corp

## Spouse Information:

Name: Sally Smith Date of Birth: 01/01/1960

Nickname: Sally Social Security Number: ______________________

Occupation: Teacher Employer: Anne Arundel County Schools

## Amounts in Banks, Savings & Loans, and Credit Unions (NON-IRA)

| Name of Bank | Type of Account | Maturity Date | Interest Rate | Approximate Balance |
|---|---|---|---|---|
| Chase | CD | 12/31/2027 | 4.5% | $60,000 |
| Chase | Savings | | 1.5% | $40,000 |
| Chase | Checking | | 1/5% | $15,000 |
| | | | | |
| | | | | |

## IRA accounts and Other Retirement Accounts

(Please bring in most recent statement/report)

| Location of Account (Bank, Broker, Employer) | Type of Account (401(k), 403(b), IRA, etc) | Approximate Market Value | Account Holder |
|---|---|---|---|
| XYZ Company | 401K | $475,000 | Bob |
| Fidelity | IRA | $225,000 | Bob |
| TD Ameritrade | IRA | $350,000 | Sally |
| | | | |
| | | | |

When do you plan to retire? Age 67, if possible?

## Stock and Bond Certificates

(Please bring in most recent statement/report)

| Name of Stock/Bond | Number of Shares | Approximate Market Value | Account Holder |
|---|---|---|---|
| XYZ | 1,000 | $20,000 | |
| | | | |
| | | | |
| | | | |
| | | | |

## Mutual Fund and/or Brokerage Accounts

(Please bring in most recent statement/report)

| Name of Brokerage Firm or Mutual Fund | Approximate Market Value | Account Holder |
|---|---|---|
| TD Ameritrade | $100,000 | Joint |
| | | |
| | | |
| | | |
| | | |

## Real Estate and Residence

| Property Address | Original Cost | Approximate Market Value | Debt Owed |
|---|---|---|---|
| 9901 Bunnyhop Lane | $200,000 | $750,000 | $20,000 |
| Beach House | $100,000 | $350,000 | $0 |
| | | | |

## Family Business / Partnerships

| Name of Partnership | Type of Investment | Amount Invested | Market Value |
|---|---|---|---|
| | | | |
| | | | |
| | | | |
| | | | |

## Long Term Care

| Insured | Monthly Benefit/ Premium Amount |
|---|---|
| Hancock Policy: Bob and Sally | $8,000/each, $450,000 Max |
| | |
| | |

## Life Insurance

(Please bring in policies and latest statements)

| Name of Company | Insured | Type of Insurance | Cash Value | Death Benefit |
|---|---|---|---|---|
| XYZ | Bob | Term | | $300,000 |
| NYLife | Sally | Whole Life | $15,000 | $50,000 |
| | | | | |
| | | | | |

# Social Security, Pensions, or Other Streams of Income

(Including: social security, current employment, rentals, etc.)
(Please bring in policies and latest statements)

| Source | Account Holder | Monthly Amount | Survivorship % |
|---|---|---|---|
| XYZ Pension | Bob | $3,000 | 50% |
| Social Security | Bob | Now: $2,156 @67 $2,500 | |
| Social Security | Sally | Now $1,900 @67 $2,204 | |
| | | | |
| | | | |

# Children

| Name | Sex | Date of Birth | Filed as Dependent | Funds Needed for college |
|---|---|---|---|---|
| Sam and Sarah | | 3/1/84 & 4/22/80 | Yes ☐ No ☐ | NO |
| Granchildren (ages 9 and 5) | | | Yes ☐ No ☐ | |
| | | | Yes ☐ No ☐ | |

## Other Assets:

Approximate value of Person Property (Household goods, Jewelry, Cars, Etc): Approx $50,000

Family Business (Provide name, value, and how held. Is it a corporation?): ______________________

______________________________________________________________

Other Assets: ______________________________________________________________

What are your Primary Financial Concerns? Worried about the market going down, being able to retire comfortably

## What income you would like in retirement?

#1 What income do you need to Pay the bills? $8,000/month

#2 What Income would you like to live your life if we could make it happen? $9,000/month

## Appointment Checklist:

(Make sure you have the following items for your financial evaluation)

☐ Annuity Statements ☐ Brokerage Statements ☐ Mutual Fund Statements ☐ Social Security Statement

☐ Retirement Account Statement ☐ Life Insurance Policies and Statements ☐ Last Year's Tax Return